

SML/SEC/2024-25-015
30th April, 2024

Dy. General Manager- Corporate Relationship Department BSE Limited P.J Towers, Dalal Street Fort, Mumbai-400 001	The Secretary, National Stock Exchange of India Ltd. Exchange Plaza, 5th Floor, Plot no. C/1, G Block Bandra- Kurla Complex Bandra (E), Mumbai – 400 051
Scrip Code: 505192	Scrip Code: SMLISUZU

Sub: Certificate under Regulation 40(9) & (10) of SEBI (LODR) Regulations, 2015

Dear Sir,

We are enclosing herewith the Compliance certificate under Regulation 40(9) & (10) of SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 issued by Mr. Jaspreet Singh Dhawan, a Practicing Company Secretary (Membership no. F-9372 & CP no. 8545) for the Financial year ended on 31st March, 2024.

This is for your information and record please.

Thanking you,

Yours faithfully,

For SML ISUZU LIMITED

(PARVESH MADAN)

Company Secretary & Compliance Officer

ACS-31266

pmadan@smlisuzu.com

SML ISUZU Limited

Regd. Office & Works:

Village : Asron, Distt. Shahid Bhagat Singh Nagar (Nawanshahar) Punjab - 144533

T +91 1881 270255 **F** +91 1881 270223

Corporate Office : 204-205, Sector 34-A, Chandigarh - 160135

T +91 172 2647700-10 **F** +91 172 2815111 **W** www.smlisuzu.com **CIN** L50101PB1983PLC005516

Trucks & Buses



Jaspreet Singh Dhawan
B.Com (Hons.), FCS

JASPREET DHAWAN & ASSOCIATES

COMPANY SECRETARIES

H. No. 705, Phase-10, Sector 64, Mohali, Punjab-160062
cell : +91 98885-15184, 75891-58266
Off. : +91 98142-49418
e-mail : jaspreetsdhawan1@gmail.com
dhawan_jaspreet@hotmail.com

To

The Board of Directors
SML ISUZU LIMITED
(CIN - L50101PB1983PLC005516)
VILLAGE ASRON DISTRICT NAWANSHAHR,
PUNJAB, INDIA, 144533

CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE FINANCIAL YEAR ENDED MARCH 31, 2024

The Securities and Exchange Board of India vide gazette notification dated June 8, 2018 and vide its press release dated December 3, 2018, amended Regulation 40 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. April 1, 2019.

Further, SEBI vide gazette notification dated 24th January, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022 had mandated issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, renewal / exchange of securities certificate, endorsement, subdivision / splitting of securities certificate, consolidation of Securities certificates/folios, transmission and transposition.

Accordingly, on the basis of examination of the relevant documents relating to fully paid equity shares of **SML ISUZU LIMITED** ('the Company') maintained and provided electronically by **MCS Share Transfer Agent Ltd.**, Registrar and Share Transfer Agents (RTA), pertaining to the financial year ended March 31, 2024 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, We hereby certify that:

- As informed to us, there were no requests relating to transfers received during the financial year ended 31st March, 2024;
- The Company has sent during year ended on 31st March, 2024, letters of confirmation as per SEBI's Operational Guidelines, for execution of transmission of securities, correction/deletion/change of name and issuance of renewed/duplicate share certificates, received during the financial year ended 31st March, 2024, within 30 days from the respective date of lodgement;
- The Company has sent letters conveying objections raised on technical grounds in appropriate cases;
- As informed to us there were no requests relating to transposition of securities, sub-division (split), consolidation, exchange or endorsement of calls/allotment monies received during the said period;
- Any Other Information, if applicable: - NIL.

Date: 29.04.2024
Place: Mohali

For Jaspreet Dhawan & Associates
Company Secretaries



Jaspreet Singh Dhawan
M. No. 119372
CP.No:8545
UDIN: F009372F000265089